Subrecipient Monitoring

Presented by the HMS Subrecipient Monitoring Committee

02/12/2016
Membership

• Current Members:
  – SPA: Melissa Korf, Melissa Maher
  – Research Finance: Rita Bergemann
  – Compliance: Amanda Humphrey, Jeff Seo
  – Department: Jason Hall

• New Members:
  – SPA: Caroline Foster, Christopher Davies
  – Department: Five new department reps
Policies and Procedures

• New Subrecipient Monitoring Policy
  – Uniform Guidance, audit focus
  – Purpose: Ensure proper spending, performance, compliance with rules and regulations
  – FAQs will take time, direct questions to SMC
  – Summary of department responsibilities

• University-Wide Toolkit (under revision):

• Additional HMS tools available:
  – PI Attestation, PI Checklist
Risk Assessment

• Primarily SPA/OSP to perform
• Purpose: Determine ability of subs to perform the research and comply with requirements
• Expanded Clearinghouse + RAQ coming?
• Database established, to be updated regularly
• Contact terms will match risk level/issues
  – Ex: Invoice backup required for subs with history of invoicing problems
Contact Us

Questions, Concerns, Suggestions?

Contact the HMS SMC at:

HMS_Submonitoring@hms.harvard.edu

Thank you!